

## **NEW COMPLIANCE COUNSEL EXPERT RETAINED BY THE DOJ FRAUD SECTION**

As of November 3, 2015, the Department of Justice Fraud Section has retained Hui Chen as a full-time compliance expert. She will report to Andrew Weissmann, the Chief of the Fraud Section, and Dan Braun, the Acting Chief of the Strategy, Policy, and Training Unit in the Fraud Section.

Among her duties as a consulting expert, Chen will provide expert guidance to Fraud Section prosecutors as they consider the enumerated factors in the United States Attorneys' Manual concerning the prosecution of business entities, including the existence and effectiveness of any compliance program that a company had in place at the time of the conduct giving rise to the prospect of criminal charges, and whether the corporation has taken meaningful remedial action, such as the implementation of new compliance measures to detect and prevent future wrongdoing. Chen will help prosecutors develop appropriate benchmarks for evaluating corporate compliance and remediation measures and communicating with stakeholders in setting those benchmarks. Relatedly, after a corporate resolution is reached requiring ongoing Fraud Section assessments of a company's compliance and remediation efforts, Chen will provide expert guidance to help prosecutors and monitors evaluate whether the implementation of such measures is effective and in keeping with the terms and purposes of Fraud Section resolutions.

Prior to starting on November 3, 2015, Chen served as Global Head for Anti-Bribery and Corruption at Standard Chartered Bank ("SCB"). While at SCB, Chen initiated proactive international compliance reviews, as well as undertook efforts to strengthen compliance controls. Prior to her work at SCB, Chen served as Assistant General Counsel at Pfizer, Inc., within its Compliance Division, where she conducted compliance investigations focusing on the company's operations in Europe and Asia, and participated in reviews and investigations in Latin America and the Middle East. Prior to that, Chen held significant in-house and compliance positions at Microsoft Corporation — in Beijing, New York, and Munich — including Director of Legal Compliance for the Greater China Area.

Chen has substantial prior experience as a federal prosecutor. From 1991 to 1994, Chen served as a Trial Attorney within the Criminal Division as part of the Attorney General's Honors Program. She then served as an Assistant United States Attorney at the U.S. Attorney's Office in the Eastern District of New York. Chen graduated with high honors from the University of California at Berkeley and received her law degree from the U.C.L.A. School of Law. Chen has served as a Visitor Scholar at Cambridge University and an Adjunct Professor at the Rutgers University School of Law.